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Substitute Form PTO-1449 Department of Commerce (Modified) Patent and Trademark Office

Attorney's Docket No. 17083-003002/1227B

Application No. 09/586,625

Applicant

List of Patents and Publications for Applicant's Information Disclosure Statement

Carlos F. Barbas III et al.

Filing Date Group Art Unit June 2, 2000 1646

(37 CFR §1.98(b))

**U.S. Patent Documents** 

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	A.A.	2003/0143559	07/31/03	Sansone, R.P.	705	1-	03/27/01
SHS	AB	2003/0186841	10/02/03	Barbas III et al.	514	1	04/23/03
	AC	2004/0224385	04/21/05	Barbas et al.	435	69.1	06/18/04
	AD	2005/0084885	04/11/05	Barbas, III et al.	435	6	09/14/04
	AE	2005/0148075	07/07/05	Barbas, C.F.	435	455	08/21/03
-V $-$	AF	6,790,941	09/14/04	Barbas III et al.	530	400	02/09/00

	Foreig	n Patent Do	cuments or F	<b>Published Foreign</b>	Patent A	Application	าร	
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SHS	AG	01/52620	07/26/01	РСТ				
	AH	02/06463	01/24/02	PCT				
V	Al	2002/097050	12/05/02	PCT				

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
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SHS AJ Alwin et al., "Custom zinc-finger nucleases for use in human cells," Mol. (2005)		
AK Beerli, R.R.and C.F. Barbas III, "Engineering polydactyl zinc-finger transcription factors," N Biotechnology 20(2): 135-41 (2002)		Biotechnology 20(2): 135-41 (2002)
	AL	Blancafort et al., "Designing transcription factor architectures for drug discovery," Mol. Pharmacol. 66(6): 1361-71 (2004)
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	AO	Blau et al., "y-globin gene expression in CID-dependent multi-potential cells established from beta- YAC transgenic mice," J. Biol. Chem. August 30, 2005
	AP	Dreier et al., "Development of zinc finger domains for recognition of the 5'-ANN-3' family of DNA sequences and their use in the construction of artificial transcription factors," J. Biol. Chem. 276(31): 29466-78 (2001)
$\sqrt{}$	AQ	Dreier et al., "Development of zinc finger domains for recognition of the 5'-CNN-3' family DNA sequences and their use in the construction of artificial transcription factors," J. Biol. Chem. 280(42):35588-35597 (2005)

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Substitute For (Modified)	n PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17083-003002/1227B	Application No. 09/586,625			
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	AS	Guan et al., "Heritable endogenous go transcription factors," Proc. Natl. Aca	ene regulation in plants with des ad. Sci. USA 99(20): 13296-301	(2002)			
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V	BE	Xu et al., "A versatile framework for transcription factors," Mol. Ther. 3(2)		transgene-specific			

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Shulamith H. Shafer of Operation and International Shake (2015, 00458710, 00440) 1917, omasterobusines hasher@uspto gov Date: 2006 07.10 10:16 09-04100	07/10/2006
EXAMINER: Initial if citation considered, whether or not citation is in co- conformance and not considered. Include copy of this form with next co	onformance with MPEP 609; Draw line through citation if not in or mmunication to applicant.

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List of Patents and Publications for Applicant's Information Disclosure Statement  (37 CFR §1.98(b))				Filing Date June 2, 2000		Group Art Unit 1646	
(0) 01 14 31.04	<u> </u>		U.S. Pater	nt Documents		•	
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
SHS	AA	2003/0143559	07/31/03	Bracken et al.	435	6	05/31/02
-	AB	2003/0186841	10/02/03	Barbas III et al.	514	1	04/23/03
· ···	A <del>C</del>	2004/0224385	04/21/05	Barbas et al.	435	69.1	06/18/04
	AD.	2005/0084885	04/11/05	Barbas, III et al.	435	6	99/14/94
	AB-	2005/0148075	07/07/05	Darbas, C.F.	435	455	08/21/03
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	Foreig	n Patent Do	cuments or F	Published Foreign	Patent A	Application	ns	
Examiner	Desig.	Document	Publication	Country or			Trans	slation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AG	01/52620	07/26/01	PCT	1			
	AH	02/06463	01/24/02	PCT -				
	AL	2002/097050	12/05/02	PCT				

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	AL	Blancafort et al., "Designing transcription factor exchitectures for drug discovery," Mel. Phermecel. 66(6): 1361-71 (2004)
	AM_	Blancafort et al., "Genetic reprogramming of tumor cells by zinc finger transcription factors," Proc. Natl. Acad. Sci. USA 102(33): 11716-21 (2005)
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	AP -	Droier et al., "Development of zine finger demains for recognition of the 5' ANN 3' family of DNA sequences and their use in the construction of artificial transcription factors," J. Biol. Chem. 276(31): 29466-78 (2001)
	AQ	Draier et al., "Development of zine finger demains for recognition of the 5' CNN 3' family DNA sequences and their use in the construction of artificial transcription factors," J. Biol. Chem. 280(42):35588-35597 (2005)

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Application No. Substitute Form PTO-1449 U.S. Department of Commerce Attorney's Docket No. (Modified) Patent and Trademark Office 09/586,625 17083-003002/1227B **Applicant** Carlos F. Barbas III et al. List of Patents and Publications for Applicant's **Information Disclosure Statement** Group Art Unit Filing Date June 2, 2000 1646 (37 CFR §1.98(b)) Other Documents (include Author, Title, Date, and Place of Publication) Examiner Desig. Initial ID Document nroximal to known regulatory regions for the induction of y-globin expression

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А	Z Segal et al., "Evaluation of a modular strategy for the construction of nover polydactyl zinc finger DNA-binding proteins," Biochemistry 42(7): 2137-2148 (2003)
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В	Virol. 78(3). 1301-13 (2004)
В	Yu et al "A versatile framework for the design of ligand described."

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Digitally signed by Shutamith H. Shafer

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